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#### Prognostic Implication of Histopathologic Indicators in Salivary Duct Carcinoma Proposal of a Novel Histologic Risk Stratification Model

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#### • Dedinition

- Aggressive epithelial malignancy resembling high-grade mammary ductal carcinoma
- De novo or as the outcome of a malignant component of carcinoma ex pleomorphic adenoma
- **ICD-O** code 8500/3
- Epidemiology
  - Accounts for as many as 10% of all salivary gland malignancies
  - Distinct male predilection
  - Elderly individuals, with peak incidence in the sixth and seventh decades of life

- Localization
  - Most tumours arise from the parotid gland
- Histopathology
  - A striking resemblance to high-grade ductal carcinoma of the breast
  - Apocrine, on cocytoid, and characterized by abundant cytoplasm and large pleomorphic nuclei with coarse chromatin and prominent nucleoli, mitotic figures are easily identifiable
  - Sarcomatoid, mucin-rich, invasive micropapllary, and oncocytic cacinomas

#### • Immunophenotype

- Positive EMA CK CEA AR (70%) HER2 (25-30%)
- Negative ER PR S-100
- Genetic prfile
  - HER2 gene amplification is seen in as many as 25% of cases
  - *PLAG*1and/or *HMGA2* rearrangements are identified in most cases of SDC ex pleomorphic adenoma

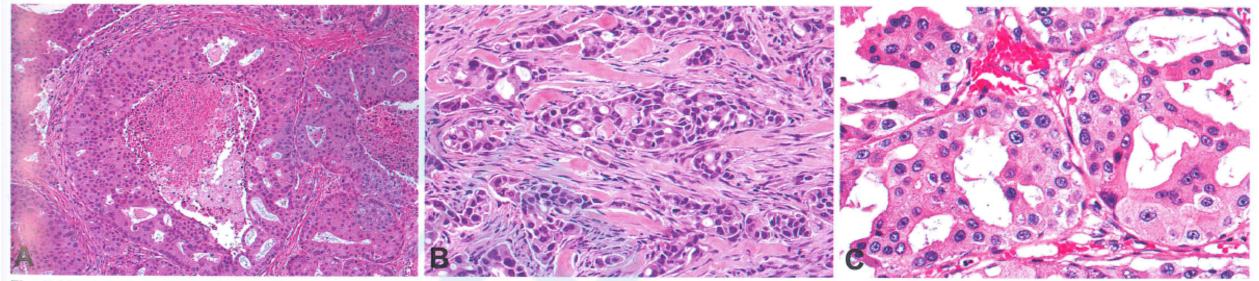


Fig. 7.18 Salivary duct carcinoma. A The intraductal component consists of cribriform structures with so-called Roman-bridge architecture; note that the central portion of the ductal cell nests undergoes comedonecrosis. B The invasive component consists of irregular glands and cords of cells that elicit a prominent desmoplastic reaction. C Carcinoma cells exhibit large pleomorphic nuclei with coarse chromatin and prominent nucleoli; the cytoplasm is abundant and granularly eosinophilic.

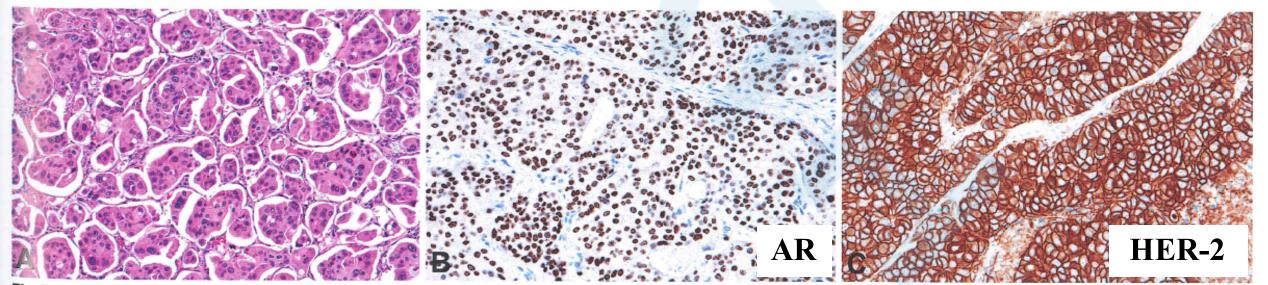


Fig. 7.19 Salivary duct carcinoma. A Invasive micropapillary variant. Morula-like small cell clusters without fibrovascular cores, surrounded by a clear space. B Carcinoma cells show a diffuse nuclear immunopositivity for androgen receptor. C Diffuse and strong membranous immunostaining for ERBB2/HER2.

#### WHO Cassification of Head and Neck Tumours, 4<sup>th</sup> Edition

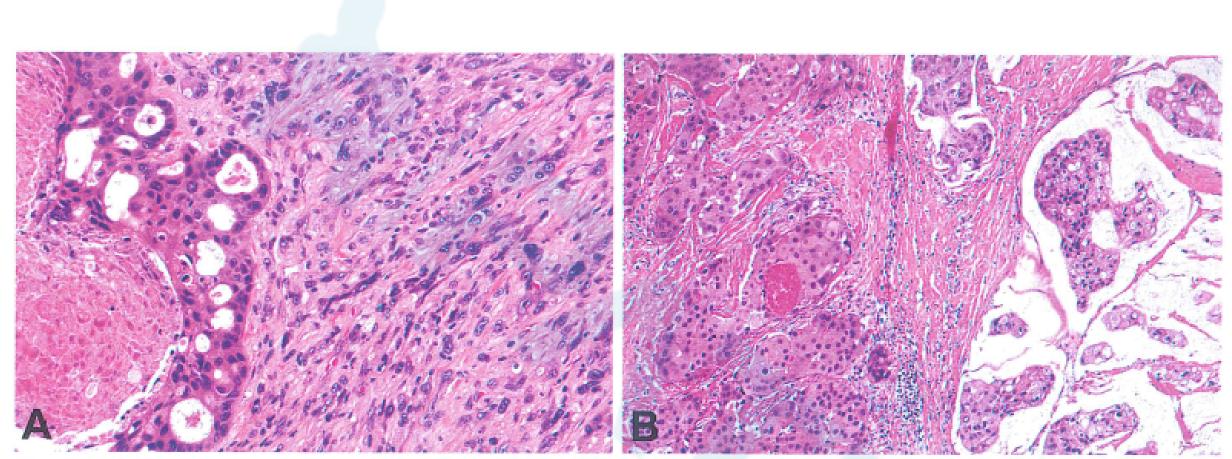


Fig. 7.20 Salivary duct carcinoma A Sarcomatoid variant. Biphasic neoplasm with both conventional salivary duct carcinoma (left) and sarcomatoid elements with a fascicular pattern of atypical spindle cells (right). B Mucin-rich variant. Mucin lakes containing islands of carcinoma cells (right) in addition to the conventional salivary duct carcinoma component (left).

#### WHO Cassification of Head and Neck Tumours, 4<sup>th</sup> Edition

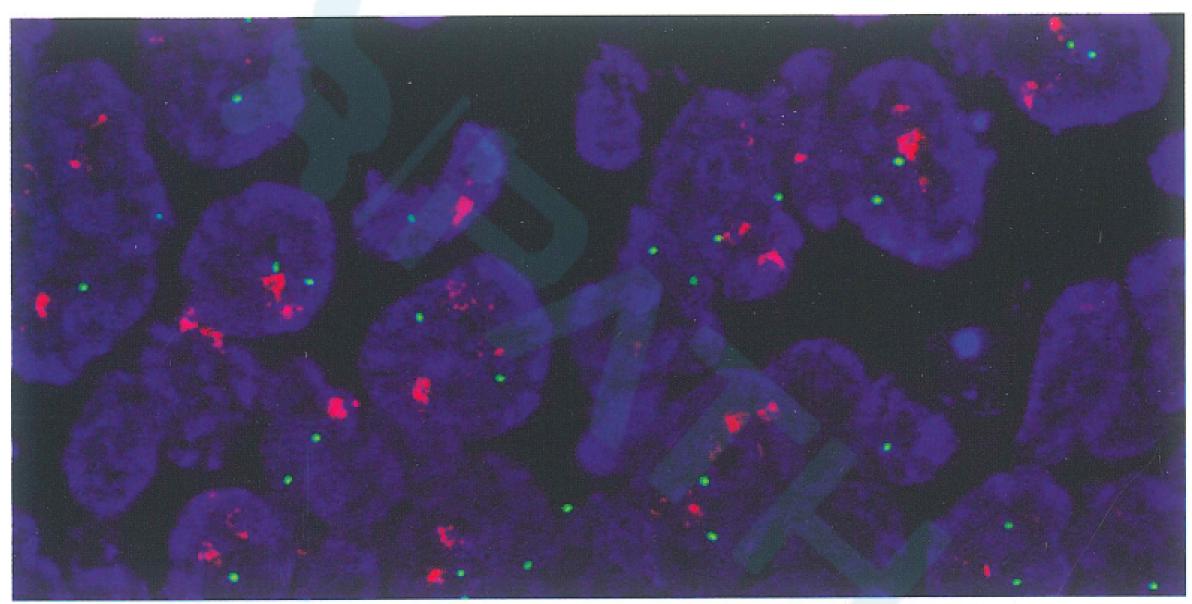


Fig. 7.21 Salivary duct carcinoma. FISH analysis is positive for *ERBB2* (also called *HER2*) gene amplification, showing numerous red signals (*ERBB2*) versus a normal number of green signals (centromere 17).

WHO Classification of Head and Neck Tumours, 4<sup>th</sup> Edition

- Standard treatment
  - Surgical resection through radiation therapy and conventional chemotherapy
  - Recent advances in molecular targeted therapy
    - Targeting human epidermal growth factor receptor 2 (HER2) with trastuzumab
    - Combined androgens Blockers target androgen receptor
- Prognosis and predictive factors
  - Frequent local recurrence and regional lymph node and distant metastasis
    - 55-65% have died of disease, usually within 5 years

## Nottingham Histologic Grade

Table 2.06 Semiqualitative method for assessing histological grade in breast tumours {585}

Feature		Score
Tubule and gland form	ation	
Majority of tumour (>	• 75%)	1
Moderate degree (10	0–75%)	2
Little or none (< 10%	3	
Nuclear pleomorphism		
Small, regular, unifor	1	
Moderate increase in	size and variability	2
Marked variation		3
Mitotic count		
Dependent on micros	scope field areaª	1–3
	Total score	Final grading
	res for gland formation, nism, and mitotic count:	
	3–5	Grade 1
	6 or 7	Grade 2
	8 or 9	Grade 3

2019 WHO Classification of Tumours Breast Tumours – 5th edition

### Nottingham Histologic Grade

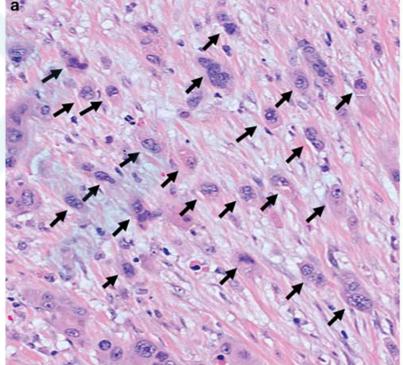
• The mitotic count was determined in 10 fields with a ×40 objective lens (HPF) (field diameter 0.55 mm)

1

- $\leq 8$  mitoses
- 9 to 17 mitoses 2
- $\ge 18$  mitoses 3

# **Tumor Budding**

- Based on the recommendation of the International Tumor Budding Consensus Conference
- Single cells or clusters of up to 4 cells at the invasive margin
- H&E staining using a ×20 objective lens and assessed in the highest hotspot at the invasive front
- Three-tier system
  - 0–4 buds—low budding (Bd 1)
  - 5–9 buds—intermediate budding (Bd 2)
  - 10 or more buds—high budding (Bd 3)



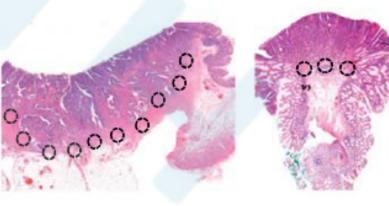
1 Define the field (specimen) area for the 20x objective lens of your microscope based on the eyepiece field number (FN) diameter

Eyepiece FN Diameter (mm)	Specimen Area (mm2)	Normalization Factor
18	0.636	0.810
19	0.709	0.903
20	0.785	1.000
21	0.866	1.103
22	0.950	1.210
23	1.039	1.323
24	1.131	1.440
25	1.227	1.563
26	1.327	1.690

2 Select the H&E slide with greatest degree of budding at the invasive front



3 Scan 10 individual fields at medium power (10x objective) to identify the "hotspot" at the invasive front

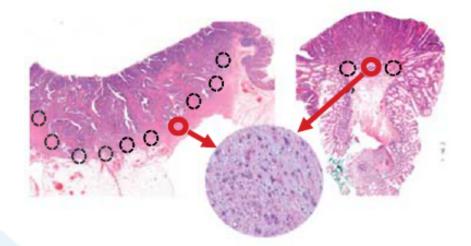


For surgical resection specimens, scan 10 fields

For pT1 endoscopic resections (usually <10 fields available), scan all

Modern Pathology (2017) 30, 1299–1311

4 Count tumor buds in the selected "hotspot" (20x objective)



Selected hotspot indicated in red

5 Divide the bud count by the normalization factor (figure 2) to determine the tumor bud count per 0.785mm<sup>2</sup>

> Select the budding [Bd] category based on bud count and indicate the absolute count per 0.785mm<sup>2</sup> (see reporting example)

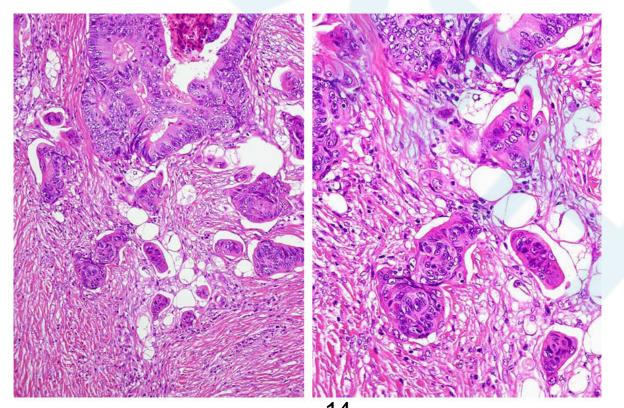
Tumor bud count	Bud count (20x objective) Normalization factor*						
per 0.785 mm <sup>2</sup> =							
Bd1 (low): Bd2 (intermediate):	0-4 buds 5-9 buds	per 0.785 mm <sup>2</sup>					
Bd3 (high):	≥10 buds						

Reporting example: Tumor budding: Bd3 (high), count 14 (per 0.785 mm<sup>2</sup>)

Figure 4 Procedure proposed by the ITBCC 2016 for reporting tumor budding in colorectal cancer in daily diagnostic practice.

# **Poorly Differentiated Cluster (PDC)**

- Cancer cell cluster composed of  $\geq$ 5 cancer cells lacking a gland-like structure
- The counting and grading methods were the same as for tumor budding



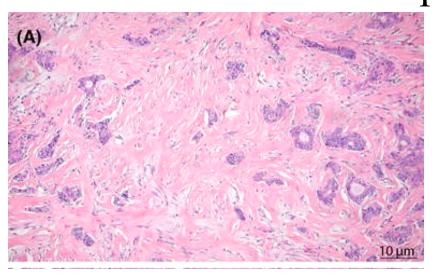
14 Am J Surg Pathol Volume 36, Number 2, February 2012

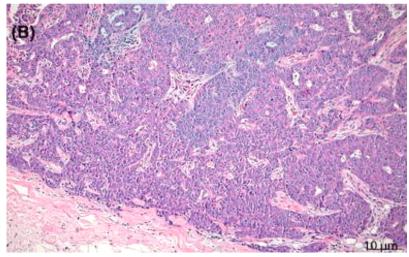
#### **Tumor Budding and PDC**

- Tumor budding is reported to be a promising adverse prognostic indicator in many organs, including the colon, esophagus, breast, skin, stomach, and pancreas
- PDC is also a poor prognosticator

#### **Tumor-stroma Ratio**

- Assessed using a  $\times 10$  objective lens in the most stroma-abundant area
  - stroma-low stroma percentage  $\leq 50\%$
  - stroma-high stroma percentage >50%
- Stroma-rich tumors were associated with poor prognosis and an increased risk of relapse





Breast Cancer Res Treat. 2011;125:687–696.

#### BACKGROUND

- The number of studies with histologic assessments of SDC is limited, largely due to the rarity of this entity
- Performed an analysis of the association between various histomorphologic parameters and the clinical outcome
- Developing a histologic risk stratification model that predicts the prognosis of SDC patients

# MATERIALS AND METHODS

#### Patient Selection

- 151 patients with SDC diagnosed and treated at 7 institutions between 1992 and
   2014
- The Evaluation of Histologic Factors
  - Nuclear size and pleomorphism, mitotic count, and tubule formation
  - High mitotic counts were defined as  $\geq 30$  mitoses in 10HPF
    - SDC exhibited more pronounced nuclear atypia and had more mitoses

#### MATERIALS AND METHODS

- The Evaluation of Histologic Factors
  - Lymphatic and vascular invasion
    - H&E staining
    - Elastica van Gieson (EVG) and D2-40 immunohistochemical staining
  - Noncomedo necrosis
    - Coagulative tumor necrosis in the invasive component imparting an infarcted appearance

#### MATERIALS AND METHODS

- The Evaluation of Histologic Factors
  - The evaluation of tumor budding
    - Low tumor budding grade 1 cases
    - High tumor budding grades 2 and 3 cases
  - Poorly differentiated cluster (PDC)
- Statistical Analyses
  - Univariate and multivariate Cox proportional hazards models
  - Kaplan-Meier product-limit method

Characteristics	n (%)
Age (y)	
< 65	84 (55.6)
≥65	67 (44.4)
Sex	
Male	127 (84.1)
Female	24 (15.9)
classification	
1	13 (8.6)
2	39 (25.8)
3	30 (19.9)
4	69 (45.7)
V classification	
0	71 (47.0)
1	9 (6.0)
2	71 (47.0)
A classification	
0	142 (94.0)
1	9 (6.0)
Primary tumor site	
Parotid gland	117 (77.5)
Submandibular giand	30 (19.9)
Others	4 (2.6)
Histologic origin	
De novo	57 (37.7)
Ex pleomorphic adenoma	89 (58.9)
Unknown	5 (

- The median follow-up period of survivors was 3.4 years (range, 0.04 to 19.0 y)
  - 3-year OS was 68.5% (95% CI, 60.1%-75.5%)
  - 3-year PFS was 34.3% (95% CI, 26.7%-42.1%)

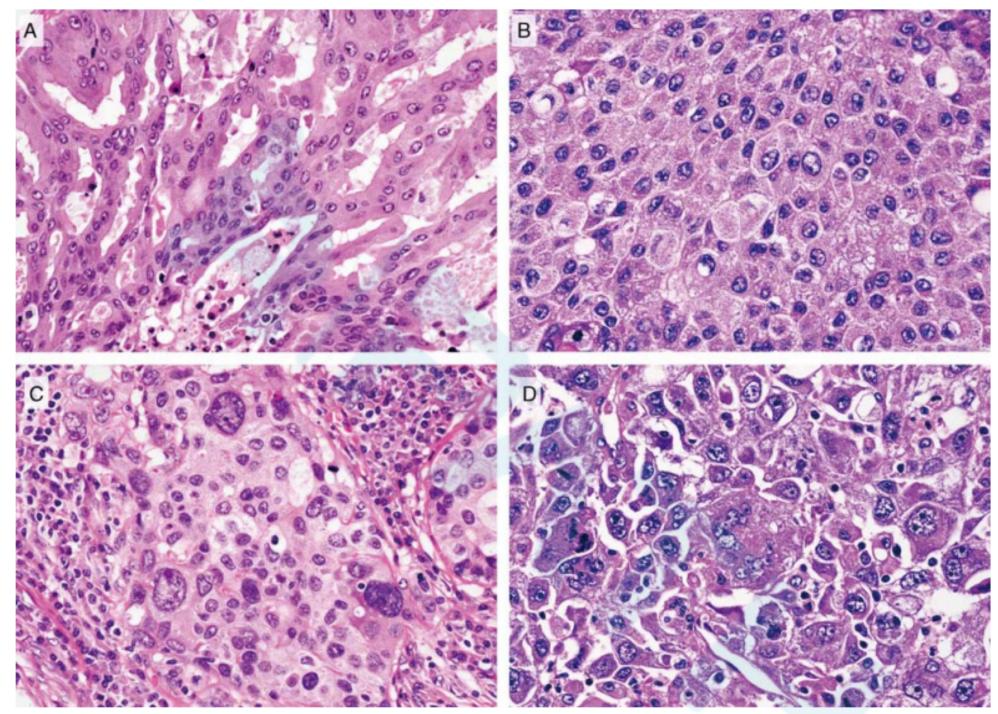
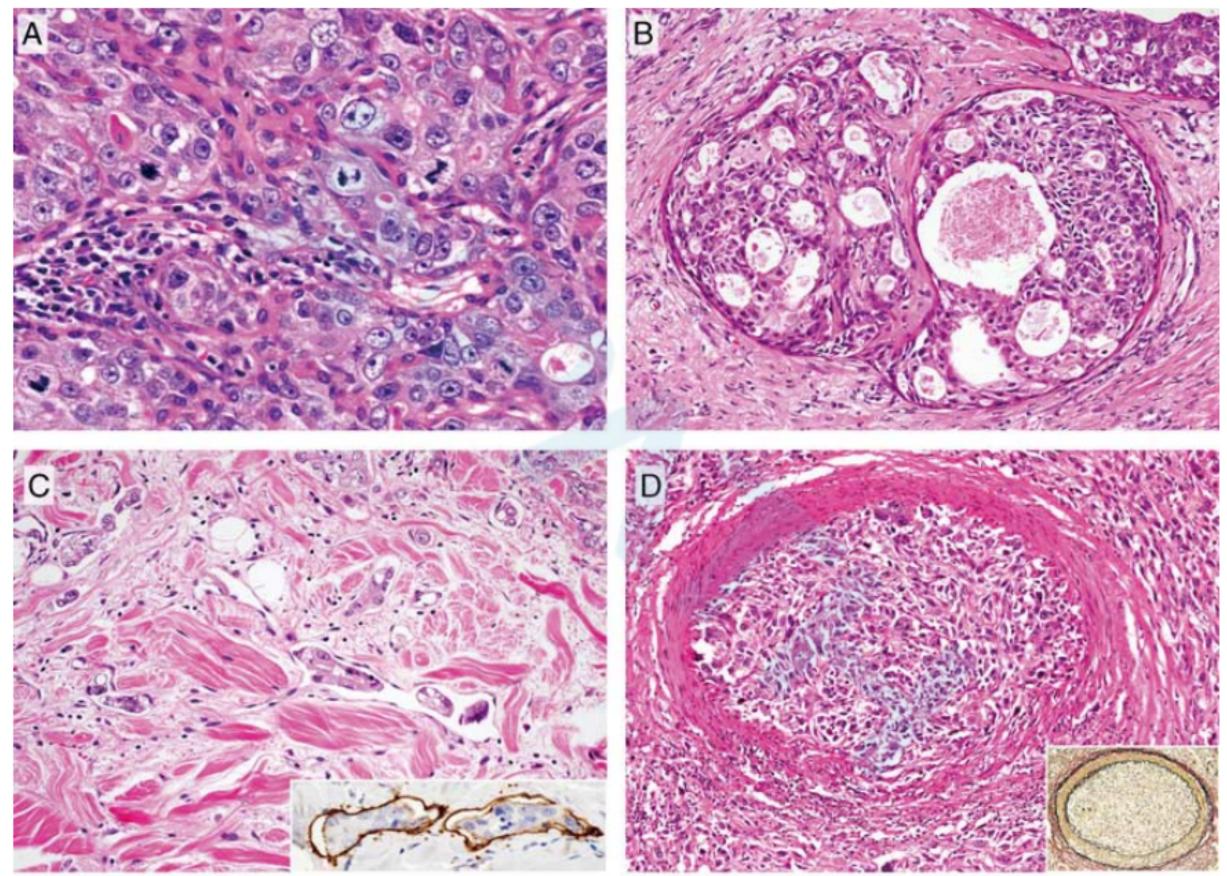


FIGURE 1. Evaluation of prominent nuclear pleomorphism in SDC. A and B, SDC cases without prominent nuclear pleomorphism. Although the tumor cells have larger nuclei accompanied by conspicuous nucleoli in comparison to normal salivary duct epithelial cells, the variation in the size and shape is minimal (A) or slight (B). C and D, SDC cases with prominent nuclear pleomorphism. Tumor cells containing extremely large pleomorphic nuclei are scattered but others have relatively small monotonous nuclei (C). All tumor cells vary in size and shape. Bizarre nuclei and atypical mitoses are also present (D).



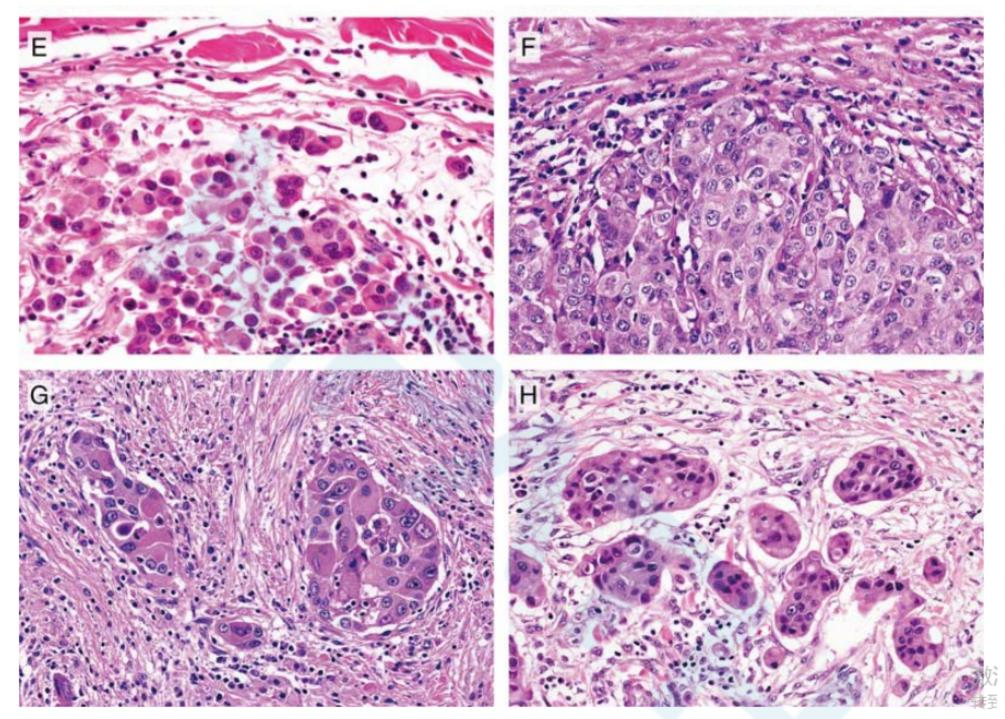


FIGURE 2. Various histologic parameters in SDC. A, Brisk mitotic activity. B, Intraductal pattern consisting of large ductal structures with a cribriform formation. C, Lymphatic invasion (inset: D2-40 immunohistochemistry). D, Vascular invasion (inset: EVG staining). E, High tumor budding. F–H, Varying degrees of PDCs in SDC. F, The invasive front is irregular, but no PDCs are found. G, Three PDCs are identified in this high-power view. PDCs of  $\leq 5$  in a hotspot was regarded as low PDC. H, SDC categorized as high PDC. A few tumor buddings are also noted 25

				0	s			PFS						
	U	nivariate A	Analysis	M	Multivariate Analysis			nivariate A	Analysis	Multivariate Analysis				
Histopathologic Parameters	n (%)	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р	
Prominent nuclear pleomo	rphism													
Absent								1.00	_	Reference	1.00	_	Reference	
Present	101 (66.9)	2.21	1.28-3.82	0.004*	2.06	1.16-3.66	0.013*	1.81	1.17-2.80	0.007*	1.75	1.09-2.79	0.019*	
Mitosis (/10 HPF)														
< 30	72 (47.7)	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	
≥ 30	79 (52.3)	1.41	0.89-2.26	0.147	1.29	0.77-2.15	0.333	1.71	1.16-2.53	0.007*	1.72	1.12-2.63	0.013*	
Lymphatic invasion														
Absent	57 (37.7)	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	
Present	94 (62.3)	1.40	0.85-2.30	0.184	0.92	0.53-1.61	0.772	1.49	0.99-2.26	0.057**	0.82	0.51-1.31	0.403	
Vascular invasion														
Absent	41 (27.2)	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	
Present										< 0.001*				
Perineural invasion	(,													
Absent	77 (51.0)	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	
Present										0.003*				
Noncomedo necrosis	(		0.00	0.202	0.01	0110 1100	01120			01002		0100 1100	01721	
Absent	87 (57.6)	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	
Present				0.024*						0.013*				
Histologic origin										01012			01000	
De novo	57 (37.7)	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	
Ex pleomorphic				0.826					0.54-1.19			0.63-1.45		
adenoma	05 (2015)	0.70	0.000 1.002	0.020		0.7.1 2.01	020	0.00	0.01111	0.270	0.70	0.00 1.10	0.0.1	
Dominant growth pattern														
Intraductal	34 (22.5)	1.00	_	Reference	1.00		Reference	1.00	_	Reference	1.00	_	Reference	
Invasive														
Tumor budding	117 (77.5)	2.14	1.71-0.17	0.001	1.00	0.17-1.57	0.104	5.00	1.72-5.55	0.001	1.07	0.07-5.21	0.124	
Low	112 (74.2)	1.00	_	Reference	1.00	_	Reference	1.00		Reference	1.00	_	Reference	
High										0.001*				
Poorly differentiated cluster		1.00	0.96-2.05	0.002	2.07	1.10-5.02	0.011	1.90	1.50-2.90	0.001	2.00	1.09-4.25	0.001	
Low		1.00		Pafaranca	1.00		Deference	1.00		Pafaranca	1.00		Reference	
High										Reference < 0.001*				
Tumor-stroma ratio	74 (49.0)	2.90	1.75-4.01	< 0.001	5.10	1.75-5.49	C0.001	2.32	1.50-5.44	C 0.001	2.34	1.51-5.02	< 0.001	
	122 (80.8)	1.00		Dafarra	1.00		Dafarra	1.00		Dafarra	1.00		Dafarra	
Low-stromal	122 (80.8)	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	1.00	_	Reference	
component High stromal	20 (10 5)	0.06	0.52.1.76	0.909	0.06	0 51 1 79	0.901	1.00	0.81.2.04	0.200	1.21	0.81.2.12	0.264	
High-stromal	28 (18.5)	0.90	0.55-1.70	0.898	0.90	0.51-1.78	0.891	1.28	0.81-2.04	0.290	1.51	0.01-2.12	0.204	
component														

	TABLE 2. Univariate and Mult	ivariate Analyses of Factors A	Associated With Clinical	Outcomes $(N = 151)$
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Adjusted by age, sex, primary tumor site, TNM classification, first-line treatment, and histologic origin. As for histologic origin, adjustment was performed for age, sex, primary tumor site, TNM classification, and first-line treatment. \*P < 0.05, statistically significant difference.

\*\*P=0.05 to <0.1, marginally significant difference.

1			Overall s	survival					Progress	sion-free surv	vival			
1			Univaria	ate analysis		Multivari	iate analysis		Univaria!	ate analysis		Multivari	riate analysis	
Histopathological parameter	Ν	%	HR	95% CI	P-values	HR	95% CI	P-values	HR	95% CI	P-values	HR	95% CI	P-values
Nuclear size and pleomorphism														
Score 1	0	0.0	1.00	-	reference	1.00	-	reference	1.00	-	reference	1.00	-	reference
Score 2	6	4.0	NE		-	NE	-	-	NE	-	-	NE	-	-
Score 3	145	96.0	NE	-	-	NE	-	-	NE	-	-	NE	-	-
Mitosis														Ţ
Score 1 (up to 8)	7	4.6	1.00		reference	1.00	-	reference	1.00	-	reference	1.00	-	reference
Score 2 (9 to 17)	16	10.6	NE	-	-	NE	-	-	NE	-	-	NE	-	-
Score 3 (18 or more)	128	84.8	NE	-	-	NE	-	-	NE	-	-	NE	-	-
Lymphatic invasion (D2-40 stain)														Į
Absent	109	72.2	1.00	-	reference	1.00	-	reference	1.00	-	reference	1.00	-	reference
Present	36	23.8	1.75	1.03-2.99	0.04*	1.25	0.71-2.23	0.441	1.58	1.03-2.43	0.038*	0.95	0.57-1.57	0.840
Vascular invasion (EVG stain)														Į
Absent	65	43.0	1.00	-	reference	1.00	-	reference	1.00	-	reference	1.00	-	reference
Present	77	51.0	1.44	0.88-2.36	0.146	0.94	0.55-1.59	0.815	1.83	1.20-2.77	0.005*	1.22	0.79-1.88	0.378
Tubule formation														ļ
Score 1	34	22.5	1.00	-	reference	1.00	-	reference	1.00	-	reference	1.00	-	reference
Score 2	79	52.3	0.91	0.53-1.56	0.739	0.90	0.50-1.60	0.711	0.89	0.56-1.40	0.607	0.85	0.53-1.37	0.509
Score 3	38	25.2	0.41	0.20-0.84	0.015*	0.70	0.31-1.57	0.381	0.37	0.20-0.69	0.002*	0.67	0.34-1.32	0.248
Nottingham grade														
Grade 1	1	0.7	1.00	-	reference	1.00	-	reference	1.00	NE	reference	1.00	-	reference
Grade 2	47	31.1	NE	-	-	NE	-	-	NE	-	-	NE	-	-
Grade 3	103	68.2	NE	-	-	NE	-	-	NE		-	NE		-

Abbreviations: CI, confidence interval; EVG, elastica van Gieson; HR, hazard ratio

Adjusted by age, sex, primary tumor site, TNM classification, first-line treatment, and histologic origin.

\* Statistically significant difference (P<0.05).

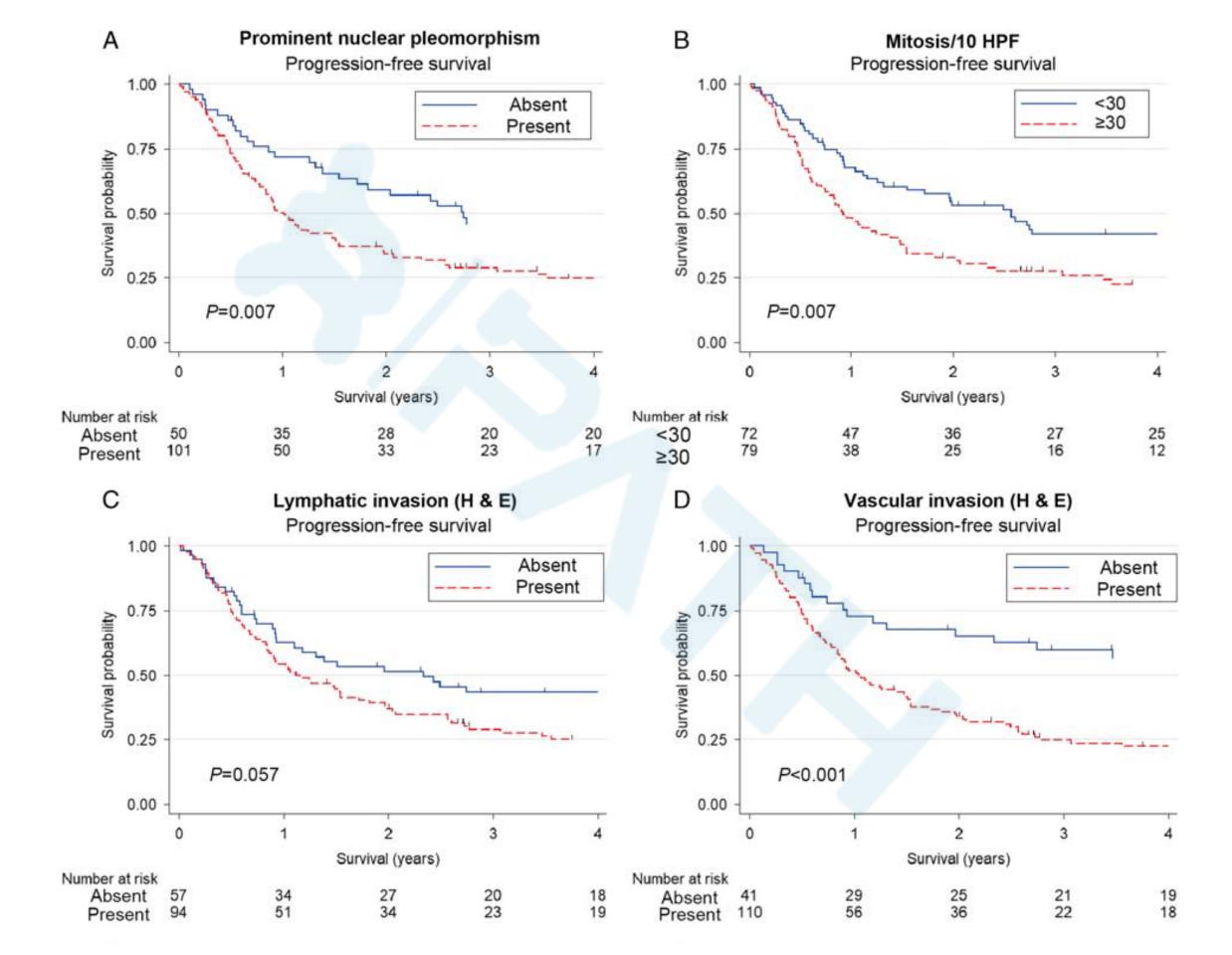
\*\*Marginally significant difference (P=0.05 to <0.1).

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- Univariate analysis
  - Negative prognostic indicators for the OS and PFS
    - Prominent nuclear pleomorphism (P=0.004 and 0.007)
    - Lymphatic invasion with D2-40 stain (P=0.04 and 0.038)
    - Vascular invasion assessed by H&E stain (P=0.003 and <0.001)
    - Noncomedo necrosis (P=0.024 and 0.013)
    - Dominant invasive growth (P=0.001 and <0.001)
    - High PDC (P<0.001 and <0.001)

- Univariate analysis
  - Poor PFS
    - $\geq$  30 mitoses/ 10 HPF (P=0.007)
    - Vascular invasion with EVG stain (P=0.005)
    - Perineural invasion (P=0.003)
    - High tumor budding (P=0.001)
  - Better OS and PFS
    - The loss of tubule formation(P=0.015 and 0.002)

- Multivariate analysis
  - Worse OS and PFS
    - Prominent nuclear pleomorphism (P = 0.013 and 0.019)
    - High tumor budding (P = 0.011 and < 0.001)
    - High PDC (P < 0.001 and <0.001)
  - Inferior prognosis for the PFS
    - $\geq$  30 mitoses/10 HPF (P = 0.013)
    - Vascular invasion



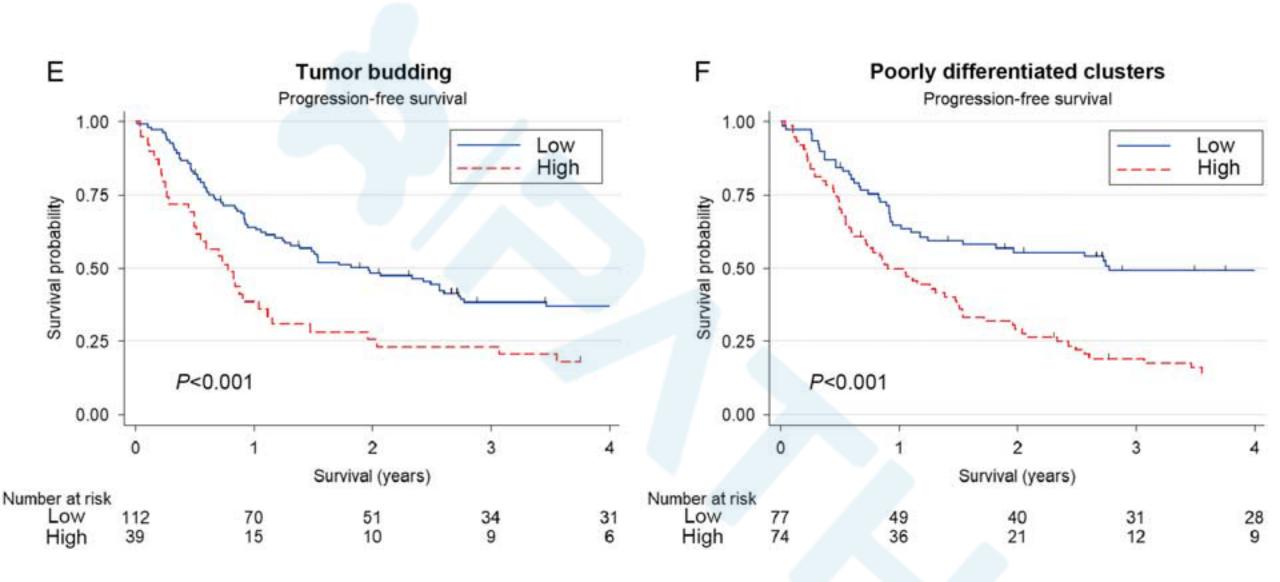


FIGURE 3. Kaplan-Meier survival curves of PFS of patients with SDC stratified by the following histologic parameters. A, Prominent nuclear pleomorphism. B, Mitosis/10 HPF. C, Lymphatic invasion. D, Vascular invasion. E, Tumor budding. F, PDCs.

Histologic risk stratification model for salivary duct carcinoma

Presence of the following factors:

- ✓ Prominent nuclear pleomorphism
- ✓ ≥30 mitoses/10 high-power fields
- ✓ Vascular invasion (H & E stain)
- ✓ ≥5 poorly differentiated clusters

Total	number	of positive factors
0, 1	$\rightarrow$	Low-risk
2, 3	$\rightarrow$	Intermediate-risk
4	$\rightarrow$	High-risk

FIGURE 4. A schematic illustration of the proposed histologic risk stratification model.

		OS									PFS					
		Un	nivariate An	alysis	Mu	ltivariate A	nalysis	Uni	ivariate Ar	nalysis	Mu	ltivariate	Analysis			
	n (%)	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р			
Histologic risk group																
Low risk (score 0, 1)	35 (23.2)	1.00	_	Reference	1.00	- 7	Reference	1.00	_	Reference	1.00	_	Reference			
Intermediate risk (score 2, 3)	81 (53.6)	2.75	1.28-5.90	0.009*	2.13	0.92-4.92	0.077**	2.61	1.45-4.68	0.001*	2.28	1.19-4.35	0.012*			
High risk (score 4) $P_{\text{trend}}$	35 (23.2)	5.01	2.25-11.15 < 0.001	< 0.001*	4.99	2.06-12.08 < 0.001	< 0.001*	4.44	2.36-8.37 < 0.001	< 0.001*	4.50	2.21-9.16 < 0.00	< 0.001*			

Adjusted by age, sex, primary tumor site, TNM classification, first-line treatment, and histologic origin.

Histologic risk group was determined by 4 histologic features (prominent nuclear pleomorphism, mitosis  $\geq$  30/10 HPF, vascular invasion, and high PDCs). The total number of positive factors among these 4 were assigned low risk to high risk as follows: low risk, 0 to 1 point; intermediate risk, 2 to 3 points; high risk, 4 points.

\*P < 0.05, statistically significant difference.

\*\*P = 0.05 to <0.1, marginally significant difference.

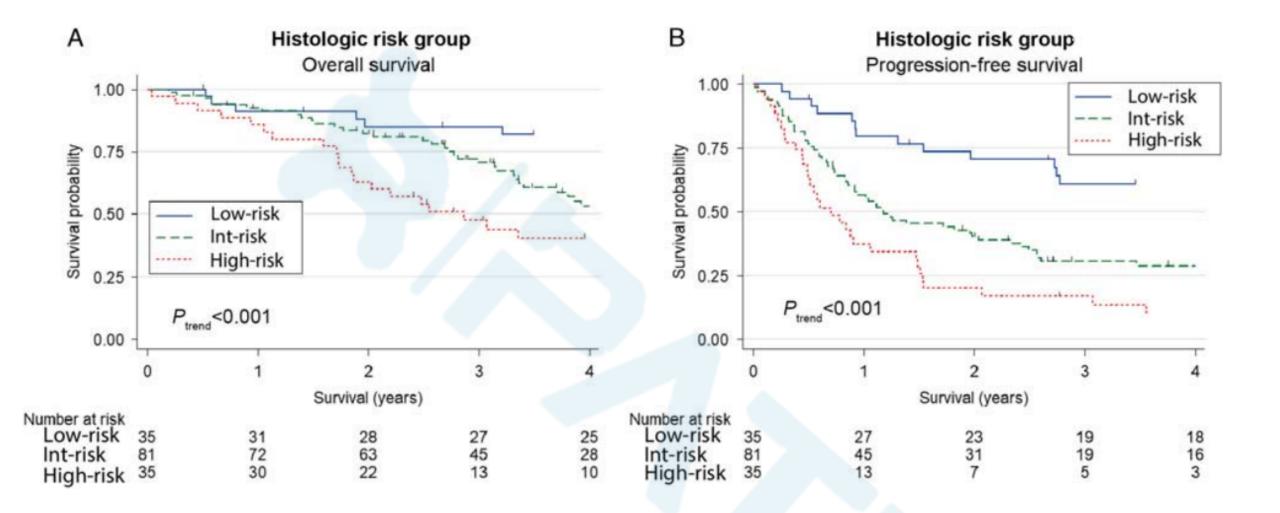


FIGURE 5. Kaplan-Meier survival curves for OS (A) and PFS (B) of patients with SDC stratified by the histologic risk stratification model. Int-risk indicates intermediate risk.

Our histologic risk stratification model could effectively predict patient survival and may be a useful aid to guide clinical decision-making in relation to the managem@nt of patients with SDC

- Lymphatic, vascular, and perineural invasion
  - Vascular invasion should be separately evaluated from lymphatic invasion
    - Vascular invasion showed a stronger association with the PFS than lymphatic invasion
    - This association was also observed in the multivariate analysis
- EVG staining and D2-40 immunohistochemical staining
  - Do not predict a poor patient prognosis more precisely than H&E staining
  - Additional stains might not necessarily be required to detect
     lymphatic or vascular invasion<sup>36</sup>

- Tumor budding and PDC
  - Not been evaluated previously for SDC
  - High tumor budding and PDC were strongly related to a poor OS and PFS in univariate and multivariate analyses
    - PDC was more prevalent than tumor budding
    - High PDC was associated with a higher HR and lower P-value than high tumor budding
    - PDC as an item for determining the histologic risk group

- Histologic origin
  - In our cohort, 89 SDC cases (58.9%) arose from
     preexisting pleomorphic adenoma, whereas others were
     de novo
  - In line with previous studies, the prognosis of SDC was not influenced by the histologic origin in either a univariate or multivariate analysis

- Tubule formation
  - Associated with a better prognosis in a univariate analysis but not in a multivariate analysis
  - Not included in the proposed histologic risk stratification model

- Predominant intraductal component has been considered to have a better prognosis than invasive SDCs?
  - These cases showed a better prognosis than SDC with a dominant invasive growth in univariate analysis, this difference disappeared in multivariate analysis

- Tumor-stroma ratio
  - Many previous studies of the tumor-stroma ratio
    concluded that high-stromal content was associated with
    a poor prognosis in other organs
  - In our analysis, no significant association was noticed

- 4 histologic features deemed capable of predicting a poor OS or PFS
  - Prominent nuclear pleomorphism, ≥30 mitoses/10 HPF, vascular invasion, and high PDC, classified 3 different risk groups
- Useful and practical system and which requires no special ancillary testing
  - Assigned based on the findings of a microscopic evaluation with
     H&E staining alone
  - The combination of these 4 histologic features might minimize the intra-observer variation

- The present study was associated with several
  - limitations
    - Some of the evaluated features could be subjective
      - Prominent nuclear pleomorphism
    - The histologic features on H&E sections and the molecular biomarker profiling classification
      - Androgen receptor, HER2, and Ki-67 expression status

#### CONCLUSION

- Prominent nuclear pleomorphism, ≥ 30 mitoses/10 HPF, vascular invasion, ≥ 5 tumor budding, and ≥ 5 PDCs were strong prognostic predictors of a poor OS or PFS
- The histologic risk stratification model based on these factors is a concise and practical method for predicting patient prognosis and providing appropriate therapeutic options

#### **THANK YOU**